

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of  
Scott David D'Souza et al  
Serial No. 10/712,103

Group Art Unit 2131

Filed: November 14, 2003

For: Queuing Methods for Mitigation of Packet Spoofing

## INFORMATION DISCLOSURE STATEMENT

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Technology Center 2100

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first Office action on the merits; whichever occurs last.)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Certification under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first.)
- ☐ under 37 CFR 1.97(d) together with either a:  
☐ Certification under 37 CFR 1.97(e), and  
☐ a petition under 37 CFR 1.97(d)(2)(ii), and  
☐ a \$130.00 petition fee set forth in 37 CFR §117(i)(1).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee.)

Applicant(s) submits herewith Form PTO 1449-Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) is aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which applicant(s) is aware.

Applicant(s) submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

Respectfully submitted,



Jim Zegeer, Reg. No. 18,957  
Attorney for Applicant(s)

Attachments:

Form PTO-1449 and cited references

Suite 108  
801 North Pitt Street  
Alexandria, VA 22314  
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Date: March 5, 2004

In the event this paper is deemed not timely filed, the applicant hereby petitions for an appropriate extension of time. The fee for this extension may be charged to Deposit Account No. 26-0090 along with any other additional fees which may be required with respect to this paper.

U.S. Department of Commerce  
Patent and Trademark Office

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SERIAL NO.

**10/712,103**

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Scott David D'Souza et al

FILING DATE

**November 14, 2003**

GROUP

2131

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

1.	Peng et al, "Protection from Distributed Denial of Service Attack Using History-based IP Filtering", presented May 14, 2003, ARC Special Research Center for Ultra-Broadband Information Networks, Department of Electrical and Electronic Engineering, The University of Melbourne, Victoria 3010, Australia, 6 sheets.
2.	Williamson, "Throttling Viruses: Restricting propagation to defeat malicious mobile code", Information Infrastructure Laboratory, HP Laboratories Bristol, HPL-2002-172, June 17, 2002, matthew_williamson@hp.com, pages 1-6.

**EXAMINER**

DATE CONSIDERED

**EXAMINER:** Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.